

TRANSMITTAL

**FORM** 

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

November 7, 2001

To Be Assigned

Kishore S. SWAMINATHAN

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**Application Number** 

First Named Inventor

**Filing Date** 

**Group Art Unit** 

(to be used for all correspondence after in	nitial filing)	Examiner Name	To Be Assigned								
Total Number Of Pages In This Submission	20	Attorney Docket No.	426882003401								
ENCLOSURES (check all that apply)											
Fee Transmittal Form (in duplicate)		signment Papers r an Application)	After Allowance Communication to Group								
Fee Attached	Dra	awings	Appeal Communication to Board of Appeals and Interferences								
Amendment (17 pages)	Lic	Licensing-related Papers  Appeal Communicat (Appeal Notice, Brief, R									
After Final	Pe	tition	Proprietary Information								
Affidavits/declarations		tition to Convert to a ovisional Application	Status Letter								
	☐ Po	wer of Attorney, Revocation nange of Correspondence Ad	dress Other Enclosure(s) (please identify below):								
Extension of Time Request	Te	Terminal Disclaimer Receipt I									
Express Abandonment Request	Re	equest for Refund									
Information Disclosure Statement	CI	D, Number of CD(s)									
Certified Copy of Priority Document(	s) Remarks										
Response to Missing Parts/ Incomplete Application											
Response to Missing Parts under 37 CFR 1.52 or 1.53											
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT											
Live and the state of the state											
Firm Mornson & Foerster LLP  or 425 Market Street, San Francisco, California 94105-2482											
Individual Name Erwin J. Basinski, Reg. No. 34,773											
Signature Color Jasushi											
Date December 16, 2	002										

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Valerie L. Cohen

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed

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PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RANSMITTAL FOR FY 2001 Complete if Known

Application Number 10/007,261

Filing Date November 7, 2001

First Named Inventor Kishore S. SWAMINATHAN

Examiner Name To Be Assigned

Group Art Unit 2876

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,872 Attorney Docket No. 426882003401

The Commissioner is hereby authorized to charge indicated for free pand crodid any overpayments to.	METHOD OF PAYMENT				FEE CALCULATION (continued)				7.5		
Large   Entity   Fee   Code	and the state of t									30	
Control Number   Code	fees and credit any overpayments to:							Entity	•	6	
Mortison & Foerster LIP   105   130   205   65   Surcharge - late filing fee or cath	Account	03-195	2			Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	Account Morrison & Foerster LLP					105	130	205	65	Surcharge - late filing fee or oath	
						127	50	227	25		
Check							-				<del></del>
Check	Applicant daims small entity status. See 37 CFR 1.27					139	130	139	130	• ,	
Check   Credit Card   Money Order   Uther	2. Payment Enclosed:					147	2,520	147	2,520	reexamination	<u> </u>
1. BASIC FILING FEE	☐ Check	☐ Credit	Card □	Money Ord	ler  Other	112	920*	112	920*	to Examiner action	
Total Claims	FEE CALCULATION					113	1,840*	113	1,840*		
Large   Entity   Small   Entity   Fee	1. BASIC	FILING FEE				115	110	215	55	· · · · · · · · · · · · · · · · · · ·	
Fee						116	400	216	200		
Paid   118   1.440   218   720   Edension for reply within fourth month   119   320   219   160   160   330   206   165   Design filing fee   119   320   219   160   160   330   206   370   Reissue filing fee   120   320   220   160   161   161   162   370   Reissue filing fee   121   280   221   140   Request for oral hearing   Request for Confidence   Request for Request	Fee	Fee Fee	Fee	Fee Description	Fee	117	920	217	460	month	
101	Code	(*)	(4)			118	1,440	218	720		1
107   510   207   255   Plant filing fee   121   280   221   140   151   180   174   180	101	740 201	370	Utility filing fee		128	1,960	228	980		
108   740   208   370   Reissue filing fee   121   280   221   140   Request for oral hearing   Repulsion to institute a public use proceeding   Request for oral hearing   Request f	106	330 206	165	Design filing fe	ee					* *	
114   160   214   80   Provisional filing fee   138   1,510   138   1,510   136   1,510   Petition to institute a public use proceeding	107	510 207		Plant filing fee							<b>——</b>
Subtoline   Subt	108	740 208	370	Reissue filing	fee	121	280	221	140	, -	<del></del>
2. EXTRA CLAIM FEES    Extra	114	160 214	80	Provisional fili	ng fee	1				proceeding	
2. EXTRA CLAIM FEES    Claims											<del></del>
Extra   Claims   Fee from   Fee Paid   143   460   243   230   Design issue fee			SU	IBTOTAL (1)	\$0	141	1,280	241	640	Petition to revive - unintentional	
Total Claims	2. EXTRA CLAIM FEES					142	1,280	242	640	Utility issue fee (or reissue)	
Independent Claims  Multiple Dependent  Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee (\$\frac{1}{3}\) Code (\$\frac{1}{3}\) Code (\$\frac{1}{3}\) Code (\$\frac{1}{3}\) Delticons of the Commissioner Disclosure Stim Recording each patent assignment per properties (times number of properties)  103 18 203 9 Claims in excess of 20  146 740 246 370 For each additional invention to be examined (37 CFR § 1.129(a))  104 280 204 140 Multiple dependent claims, if not paid 109 84 209 42 Independent claims, if not paid 110 18 210 9 "Relissue claims in excess of 20 and over original patent"  SUBTOTAL (2) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\) \$\frac{1}{3}\] \$\f					Fee Pald	143	460	243	230	Design issue fee	
Calms  Multiple Dependent  Submission of Information Disclosure Stimt Recording each patent assignment Pee Fee Fee Fee Fee Fee Fee Fee Fee Fee	Total Claims	111-21=		x 18	= \$1,620	144	620	244	310	Plant issue fee	
Multiple Dependent = \$0   123   50   123   50   Petitions related to provisional applications   126   180   126   180   126   180   Disclosure Stimt   Recording each patent assignment per properties (times number of properties)   Filing a submission after final rejection   (37 CFR § 1.129(a))   (37 CFR § 1.129(b))   (37 CFR § 1.		7-4=	3	x 84	= \$252	122	130	122	130	Petitions of the Commissioner	<u> </u>
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	<u>.</u>					123	50	123	50	applications	
Early Fee (\$)						126	180	126	180	Disclosure Stmt	
103 18 203 9 Claims in excess of 20  146 740 246 370 rejection (37 CFR § 1.129(a))  102 84 202 42 Independent claims in excess of 3  149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))  104 280 204 140 Multiple dependent claims, if not paid 179 740 279 370 Request for Continued Examination (RCE)  109 84 209 42 "Reissue independent claims over original patent "Reissue claims in excess of 20 and over original patent Other fee (specify)  SUBTOTAL (2) \$1,872  *** or number previously paid, if greater; For reissues, see above.  SUBMITTED BY  Name (Print/Type)  Erwin J. Basinski  Registration No. (Attomey//Agent)  Registration No. (Attomey//Agent)  Response No. (Attomey//Agent)  Replace of 370 rejection (37 CFR § 1.129(a))  149 740 249 370 Request for Continued Examination of Request for expedited examination of a design application  179 740 279 370 Request for expedited examination of a design application  169 900 Request for expedited examination of a design application  169 900 Request for expedited examination of a design application  180 SUBTOTAL (3) (5)	Fee	Fee Fee	Fee	Fee Description	n	581	40	581	40	per properties (times number of properties)	
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claims, if not pald 109 84 209 42 "Reissue independent claims over original patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent  Other fee (specify)  **Reduced by Basic Filing Fee Paid  SUBTOTAL (3) (5)  SUBMITTED BY  **Reduced by Basic Filing Fee Paid  Complete (if applicable)  Registration No. (Attorney//Agent)  Altorney//Agent)  Response 16 2003	103	18 203	9	Claims in exc	ess of 20	146	740	246	370	rejection (37 CFR § 1.129(a))	
104 280 204 140 Muttiple dependent claims, if not paid 109 84 209 42 "Reissue independent claims over original patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) \$1,872 "Reduced by Basic Filling Fee Paid SubTOTAL (3) (\$)  SUBMITTED BY  Name (Print/Type) Erwin J. Basinski Registration No. (Attorney//Agent) 34,773 Telephone (415) 268-7144	102	84 202	42	Independent o	claims in excess of 3	149	740	249	370	examined (37 CFR § 1.129(b))	
109 84 209 42 ortginal patent 110 18 210 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) \$1,872  ** or number previously paid, if greater; For reissues, see above.  SUBMITTED BY  Registration No. (Attomey/Agent)  Registration No. (Attomey/Agent)  Resembles 16 2003	104	280 204	140	Multiple depe	ndent claims, if not paid	179	740	279	370		
**or number previously paid, if greater; For reissues, see above.  SUBMITTED BY  Name (Print/Type)  Erwin J. Basinski  *Reliasue claims in excess of 20 and over original patent  Other fee (specify)  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3)  Complete (if applicable)  Registration No. (Attorney/Agent)  (Attorney/Agent)  Page Page Page Page Page Page Page Page	109	84 209	42			169	900	169	900		
** or number previously paid, if greater; For reissues, see above.  SUBMITTED BY  Name (Print/Type)  Erwin J. Basinski  Registration No. (Attorney/Agent)  (Attorney/Agent)  Complete (if applicable)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)	110	18 210	9	**Reissue clai	ms in excess of 20 and	1					
** or number previously paid, if greater; For reissues, see above.  *Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Complete (if applicable)  **Name (Print/Type)  Erwin J. Basinski  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Complete (if applicable)  **Reduced by Basic Filing Fee Paid  **Reduc	over original patent					Other fee	e (specify)				
Name (Print/Type) Erwin J. Basinski Registration No. (Attorney/Agent) 34,773 Telephone (415) 268-7144						*Reduce	d by Basic F	Filing Fee F	Paid	SUBTOTAL (3)	()
Name (Print/Type) Erwin J. Basinski Registration No. (Attorney/Agent) 34,773 Telephone (415) 268-7144	SURMITTED BY									Complete (if applicable)	
Deta December 46, 2002								34,773	Telephone (415) 26	8-7144	
								er 16, 2002			

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